

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

**Annual Return**

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U66030PN2002PTC017009

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAHCS3947E

(ii) (a) Name of the company

LANDMARK INSURANCE BROK

(b) Registered office address

1187/22, 4th Floor, Venkatesh Meher, Ghole Road
Shivaji Nagar
Pune
Maharashtra
411005

(c) *e-mail ID of the company

po_landmark@policyboss.com

(d) *Telephone number with STD code

18004194199

(e) Website

(iii) Date of Incorporation

22/05/2002

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 29/10/2020

(b) Due date of AGM 30/09/2020

(c) Whether any extension for AGM granted ☒ Yes ☐ No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension R52531522

Pre-fill

(e) Extended due date of AGM after grant of extension 31/12/2020

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K3	Life/Non-life Insurance and Reinsurance activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	350,000	345,000	345,000	345,000
Total amount of equity shares (in Rupees)	35,000,000	34,500,000	34,500,000	34,500,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	350,000	345,000	345,000	345,000
Nominal value per share (in rupees)	100	100	100	100
Total amount of equity shares (in rupees)	35,000,000	34,500,000	34,500,000	34,500,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	345,000	34,500,000	34,500,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0

vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	345,000	34,500,000	34,500,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☐ No

☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input style="width: 100%;" type="text"/>	
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 100px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 100px;" type="text"/>
Ledger Folio of Transferor		<input style="width: 100%;" type="text"/>	
Transferor's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input style="width: 100%;" type="text"/>	
Transferee's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			19,632,760
Deposit			0
Total			19,632,760

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

658,120,438

(ii) Net worth of the Company

642,277,397

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	190,360	55.18	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	190,360	55.18	0	0

Total number of shareholders (promoters)

4

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	64,290	18.63	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	90,350	26.19	0	
10.	Others	0	0	0	
	Total	154,640	44.82	0	0

Total number of shareholders (other than promoters)

6

Total number of shareholders (Promoters+Public/
Other than promoters)

10

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	6	6
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	3	0	3	0	44.31
B. Non-Promoter	2	1	2	1	5.19	2.91
(i) Non-Independent	2	1	2	1	5.19	2.91
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	1	0	1	0	0
Total	2	5	2	5	5.19	47.22

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANJAY KARSANDAS	00156093	Director	77,500	
AMI SANJAY THAKKEP	00156237	Director	62,500	
SUSHEEL JAGDISH TE	00190124	Managing Director	15,410	
GARIMA MISRA	00190076	Director	10,070	
RISHABH JATIN SHET	01031399	Director	12,860	
AKSHAY TANNA	02967021	Director	0	
APAAR KASLIWAL	06380124	Director	2,520	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/09/2019	10	4	71.23

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	03/06/2019	7	7	100
2	27/09/2019	7	4	57.14
3	20/11/2019	7	6	85.71
4	04/03/2020	7	6	85.71

C. COMMITTEE MEETINGS

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 29/10/2020 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	SANJAY KAR	4	4	100	0	0	0	No

2	AMI SANJAY	4	4	100	0	0	0	No
3	SUSHEEL JAGDISI	4	4	100	0	0	0	Yes
4	GARIMA MISRA	4	1	25	0	0	0	No
5	RISHABH JAT	4	3	75	0	0	0	Yes
6	AKSHAY TAN	4	4	100	0	0	0	No
7	APAAR KASLI	4	3	75	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUSHEEL JAGDISI	Managing Director	5,888,610	0	0	0	5,888,610
2	APAAR KASLIWAL	Director	4,868,472	0	0	0	4,868,472
	Total		10,757,082	0	0	0	10,757,082

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

ANANT RAMCHANDRA PALANDE

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

7031

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

19

dated

29/09/2020

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Susheel
Jagdish
Tejuja
Digitally signed by
Susheel Jagdish
Tejuja
Date: 2020.11.25
17:08:34 +0530'

DIN of the director

00190124

To be digitally signed by

ANANT
RAMCHANDR
A PALANDE
Digitally signed by
ANANT
RAMCHANDR
A PALANDE
Date: 2020.11.25
18:16:55 +0530'

☐ Company Secretary

☒ Company secretary in practice

Membership number

8330

Certificate of practice number

7031

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

LIBPL_List of Shareholders_2019-2020.pdf
LIBPL AGM Extension approval letter.pdf
mgt -8.pdf
UDIN Details for MGT 7.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



GOVERNMENT OF INDIA
MINISTRY OF CORPORATE AFFAIRS

Office of the Registrar of Companies

PCNTDA Green Building, BLOCK A, 1st & 2nd Floor Near Akurdi Railway Station, Akurdi, Pune, Maharashtra, India, 411044

DATED : 17-09-2020

IN THE MATTER OF M/S LANDMARK INSURANCE BROKERS PRIVATE LIMIT CIN
U66030PN2002PTC017009
AND

IN THE MATTER OF EXTENSION UNDER SECTION 96(1) OF THE COMPANIES ACT, 2013

The Company has closed its accounting year on 31-03-2020 and the Annual general meeting of the company is due to be held on 30-09-2020 as per requirements of section 96 of the Companies Act, 2013. The company has made an application vide SRN R52531522 on 01-09-2020 requesting for an extension of time for the purpose of holding AGM on the following grounds

GNL - 1 AGM extension deemed to be granted Vide Order No. RoC/P/Sec 96/2020/414 dated 08.09.2020, other than the first AGM, for the financial year ended on 31.03.2020 as per the direction of Ministry vide OM no. 02/04/2020-CL-V-Part dt. 08.09.2020. This Form is thus accordingly disposed. Such disposal of form not to be considered valid if the company is not eligible for such extension under the Section 96 of the Act.

Keeping in view, the aforesaid circumstances due to which company cannot hold its Annual General Meeting on time, extension 3 months 0 days is considered.

ORDER

Under the power vested in the undersigned by virtue of section 96(1) read with second proviso attached thereto extension of 3 months 0 days is hereby granted. However, the company is hereby advised to be careful in future in compliance of the provisions of the Companies Act, 2013.

DS MINISTRY
OF CORPORATE
AFFAIRS

Yours faithfully,

MANGESH RAMDAS JADHAV

Registrar of Companies

RoC - Pune

Mailing Address as per record available in Registrar of Companies office:

LANDMARK INSURANCE BROKERS PRIVATE LIMIT
1187/22, 4th Floor, Venkatesh Meher, Ghole Road, Shivaji Nagar, Pune,
Maharashtra, India, 411005



Note: This letter is to be generated only when the application is approved by RoC office

Landmark Insurance Brokers Private Limited

[CIN: U66030PN2002PTC017009 | IRDAI CoR No: 216 | Direct Broker: Life & General]

Regd. Off: 1187/22, 4th Floor, Venkatesh Meher, Ghole Road, Shivaji Nagar, Pune
(Maharashtra) 411005Website: www.policyboss.com | E-mail: customercare@policyboss.com | 1800-419-419-9 (Toll Free)**Landmark Insurance Brokers Private Limited – List of Shareholders March 31, 2020**

Folio No/Client ID	Name of the Shareholder & Address	Father's Name/Husband's Name	Type of Share	Number of Equity Shares Held	Face value per Equity Shares (in INR)
10004281	Sanjay Karsandas Thakker Laxmi Niwas, 4 th Floor, 22 Kashibai Navrange Marg, Gamadevi, Mumbai 400007.	Lt. Karsandas Thakker	Equity	77500	100.00
10004290	Ami Sanjay Thakker Laxmi Niwas, 4 th Floor, 22 Kashibai Navrange Marg, Gamadevi, Mumbai 400007.	Sanjay Thakker	Equity	62500	100.00
18461981	Amar Jatin Sheth 74/10, Sakseria Building, Netaji Subhash Marg, Marine Drive, Mumbai 400020.	Jatin Manubhai Sheth	Equity	37500	100.00
52950250	Hina Sachin Mehta 19 Kamla, 4 th Floor, A G Bell Marg, Malabar Hills, Mumbai 400006.	Sachin Mehta	Equity	35000	100.00
52881609	Susheel Tejuja 12-B, Purshottam Towers, Thakur Wadi Agar Bazar, Dadar (West), Mumbai 400028	Jagdish L Tejuja	Equity	15410	100.00
18461885	Rishabh Jatin Sheth 74/10, Sakseria Building, Netaji Subhash Marg, Marine Drive, Mumbai 400020.	Jatin Manubhai Sheth	Equity	12860	100.00

Landmark Insurance Brokers Private Limited

[CIN: U66030PN2002PTC017009 | IRDAI CoR No: 216 | Direct Broker: Life & General]

Regd. Off: 1187/22, 4th Floor, Venkatesh Meher, Ghole Road, Shivaji Nagar, Pune
(Maharashtra) 411005

Website: www.policyboss.com | E-mail: customercare@policyboss.com | 1800-419-419-9 (Toll Free)

16419028	Garima Misra 21 Empire Estate, Sultanpur, Delhi 110030	Aakash Misra	Equity	10070	100.00
53366047	Yogita Manish Chokhani 161, Silver Arch, Petit Hall Compound, 66, L. Jagmohandas Marg, Mumbai - 400 006.	Manish Chokhani	Equity	1290	100.00
51527243	Apaar Kasliwal 105 Lilium, Nahar Amrit Shakti, Chandivili, Mumbai 400006	Himanshu Kasliwal	Equity	2520	100.00
10066116	TPG Growth II SF Pte.Ltd. 80 Raffles Place, 15- 01, UOB Plaza, Singapore	N.A	Equity	90350	100.00
TOTAL				345000	

Certified True Copy

For Landmark Insurance Brokers Pvt. Ltd.

Susheel
Jagdish
Tejuja

Digitally signed
by Susheel
Jagdish Tejuja
Date: 2020.10.29
13:02:38 +05'30'

Susheel Tejuja
Managing Director
[DIN: 00190124]



FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

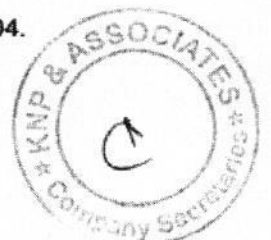
We have examined the registers, records and books and papers of **Landmark Insurance Brokers Private Limited (CIN U66030PN2002PTC017009)** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2020. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
 - 1. Its status under the Act i.e. Private Limited Company, Limited by shares.
 - 2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
 - 3. Filing of forms and returns are not stated in the Annual Return, however the Company has filed the forms and returns with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time, wherever required.
 - 4. Calling, convening and holding meetings of Board of Directors and its committees and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed; further the Company was not required to pass any resolution by postal ballot
 - 5. the Company was not required to close its Register of members.
 - 6. the Company has not given any advance or loan to its directors and/or persons or firms or companies referred in Section 185 of the Act;





7. Contracts and arrangements with related parties which were on arm's length basis as specified in section 188 of the Act;
8. there was no issue or allotment or transfer or transmission or buy back of securities, and there was no preference shares or debentures in the Company, and there was no alteration or reduction of share capital or conversion of shares/securities, hence not required to issue of security certificates in such cases.
9. there were no transaction necessitating the Company to keep in abeyance the rights to dividend, right shares, and bonus shares pending registration of transfer of shares;
10. the Company has not declared any dividend during the year under review; however, the Company was not required to transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution, appointment, re-appointments, disclosures of the Directors, and the remuneration paid to them;
13. Appointment / ratification of auditors is as per the provisions of Section 139;
14. approvals wherever required taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. the Company has not accepted any deposits in terms of Section 73 of the Companies Act, 2013
16. Borrowing from its directors, relative of directors and banks, however there were no instance to create, modify and satisfy charges in that respect, during the year under review;
17. loans and investments or guarantee's given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;



18. the Company has not altered the provisions of the Memorandum and Articles of Association of the Company during the year under review;



CS Anant Palande
Partner
KNP & Associates
Company Secretaries
FCS: 8330
C.P. No: 7031
UDIN: **F008330B001306099**

Place: Pune
Date: 25.11.2020

UDIN NO. F008330B001307034

Certification of Annual Return in Form MGT-7 under Section 92(1) of
Companies Act, 2013

Name of the Company : Landmark Insurance Brokers Private Limited

CIN : U66030PN2002PTC017009

Date of UDIN Generation : 25/11/2020